

## GUARDIANSHIP DATA TRANSPARENCY PROJECT UPDATE John Dew Guardianship Project Director

Leonard Carper Data Quality Officer

## Why Am I Involved in This Project

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- Bio
- New to the World of Guardianship
- How the CCOC Got Involved
- My Role in the Beginning and how I
- Became to Care (Yes, did see the movie. Had to stop watching twice and come back a day or two later.)



### Questions I Currently Don't have Answers To

- The role of Clerk IG responsibilities
- What the Legislature Plans in the Future
- How Individual Judicial Circuits, Courts, and Clerks handle Guardianship Cases
- How far along each Clerks is in providing us historical data on professional guardianship cases
- The validity of the data each Clerk is providing



### Questions I AM Prepared to Try and Answer

1.

- The Data that we are required to report on statutorily and how far along in the process we are.
- The history to date related to the development of the FLGDT and meeting statutory requirements
- Who has been involved.
- What are the issues we face
- NOTE: Interrupt at any point to ask questions. I WANT
   AUDIENCE PARTICIPATION



### Purpose of Presentation

1.

- For you to not just understand where we are in this project, but to understand what it contains and how it can be utilized by Judges.
- To understand how FLGDT can help prevent some "bad actors" from taking advantage of vulnerable Florida citizens. There are only a few, but a few can taint all of us.
- Now, I would like to ask a few questions of the audience before we go further.



## WEBSITE CREATED by FLCCOC

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### Judicial (Judges and Staff-Only) Florida Guardianship Data Transparency (Judicial): https://judges.flgdt.org/



## STATEMENT FOUND ON WEBSITE

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The Florida Guardianship Database Transparency (FLGDT) System is a statewide database of guardian and guardianship case information that aims to facilitate improving court oversight of guardianship cases while also providing transparency to the public. Guardian information and data regarding individual guardians registered with the Florida Department of Elder Affairs' (DOEA) Office of Public and Professional Guardians (OPPG) is provided by OPPG. Questions, concerns, and requests for information regarding registered guardians and guardian data contained within the FLDGT should be directed to the OPPG. Office of Public & Professional Guardians (OPPG) - DOEA (elderaffairs.org). Guardianship case information is provided by the Florida's Clerks and Comptrollers Association (FLCCC), Comprehensive Case Information System (CCIS). Case information is reported to CCIS by Florida's counties Clerks of Court. Questions, concerns, and requests for information regarding guardianship case information contained within the FLGDT should be directed to the county Clerk of Court where the case resides. Florida Clerks of Court Offices | State of Florida.



### TERMS OF USE AGREEMENT FOUND ON WEBSITE

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### TERMS OF USE

As a user of the web-based Florida Guardianship Data Transparency (FLGDT) system, you acknowledge that you will have access to statewide guardian information as well as guardianship case information contained within official court records sourced from the state's Comprehensive Case Information System (CCIS). You understand that under Florida law some records are considered sensitive, confidential, and/or exempt from public disclosure, and may be deemed so at any time by Florida or Federal law, court rule, or court order. By accessing this system, you further acknowledge:

THERE ARE TWO MORE PAGES OF TERMS THAT THE USER WOULD READ



## ACCESS REQUIREMENTS

Judicial website uses the CCIS "Gatekeeper" process for Clerk staff that require access to the database

Temporarily – FLCCOC is providing access to the Judicial website until the "Gatekeeper" process is fully implemented



## LEGISLATION Para (1) – FLCCOC & Clerks

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#### Title XLIII DOMESTIC RELATIONS GUARDIANSHIP

Chapter 744

View Entire Chapter

744.2112 Guardianship information and transparency.-

(1)The Florida Clerks of Court Operations Corporation and the clerks of court shall establish a statewide database of guardian and guardianship case information to facilitate improving court oversight of guardianship cases. The database may not be operational for end users until on or after July 1, 2023. The database must meet interoperability standards defined by the Florida Courts Technology Commission so that each circuit court can easily access the information for regular use in judicial proceedings under this chapter. The database must include, at a minimum, the following:

- The registration status of each professional guardian. (a)
- The substantiated disciplinary history of each professional guardian. (b)

The status of each guardian's compliance with the statutory gualifications for guardianship (c) under s. 744.2003 or s. 744.3145.

The status of statutorily required reports and submissions under this chapter. (d)



### LEGISLATION PARA (1) – Data Source Party

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- 744.2112(1)(a) The registration status of each professional guardian.
- 744.2112(1)(b) The substantiated disciplinary history of each professional guardian.
- 744.2112(1)(c) The status of each guardian's compliance with the statutory qualifications for guardianship under s. 744.2003 or s. 744.3145.
- 744.2112(1)(d) The status of statutorily required reports and submissions under this chapter.

- Department of Elderly Affairs (DEA) / Office of Public and Professional Guardians (OPPG)
   – as required by 744.2112(4)
- OPPG as required by 744.2112(4)

- OPPG as part of the data supplied to meet 744.2112(1)(a) requirement
- County Clerks' offices reporting through individual Court Management Systems (CMS) to the Comprehensive Case Information System (CCIS) offered by the Florida Court Clerks and Comptrollers Association (FCCC)



## LEGISLATION PARA (1) – Clerks' Data

- FCCC Guardianship Information Transparency Operations Workgroup (FCCC-GIT OW) established a business practice for **nine required elements** as follows:
  - 1. The location of the guardians' office
  - 2. The name of the petitioner
  - 3. The name of the ward
  - 4. The name of the professional guardian
  - 5. Legal counsel for all parties (petitioner, ward, guardian)
  - 6. Demographic information for the ward
  - 7. The name of the judge assigned to each professional guardianship case
  - 8. The circuit in which the case is brought
  - 9. The ward's county of residence
- The workgroup determined the **nine specific elements** could be captured using information already available to clerks and their CMS's from information found in the petition and other orders as part of the normal function and flow of the guardianship process
- The workgroup determined that **three of the remaining elements** could be captured by creating and using the party name/type function within the CMS
  - 1. Identifying Professional guardianship cases and eliminating the incorrect reporting of Non-Professional guardianship cases
  - 2. Identifying whether the guardianship is Limited or Plenary
  - 3. Identifying whether the guardianship case is truly Active or Terminated
- The workgroup also determined the status of statutorily required reports and submissions could be captured using "non-imaged" events, known as docket entries.



### LEGISLATION Para (2) – Secured Database

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(2)(a) Except as provided under paragraph (3)(b), the database shall be accessible only by members of the judiciary, their direct staff, and court personnel and clerks of court personnel authorized by a judge to assist with guardianship matters. The database must restrict access to the information necessary to perform such individuals' duties but in no way restrict access by judges or magistrates.

(b) The database must be searchable by, at a minimum, the name of the petitioner, ward, guardian, and legal counsel for all parties; the demographic information of the ward; the location of the guardian's office; the name of the judge and the circuit in which the case is brought; and the number of wards served by each guardian, by ward county of residence.



### LEGISLATION Para (2) – Data Source Party

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### Statutorily required searchable Database Elements in para (2)(b)

- the 1) name of the petitioner, 2) ward, 3) guardian, and 4) legal counsel for all parties
- the demographic information of the ward
- the location of the guardian's office
- the name of the judge and the circuit in which the case is brought
- number of wards served by each guardian, by ward county of residence

### Source for Database Elements

- Legal Court documents recorded in the Clerks' offices and reported to CCIS
- Legal Court documents recorded in the Clerks' offices and reported to CCIS
- Legal Court documents recorded in the Clerks' offices and reported to CCIS
- Legal Court documents recorded in the Clerks' offices and reported to CCIS
- EXTRACTED from data available in the database maintained by FLCCOC



## FLGDT JUDICIAL WEBSITE (Secured)

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https://judges.flgdt.org/

# What the LOGIN Screen Looks Like

Sign in Sign in with your email address Marian.Anderson@DAR.org ........ Forgot your password? Sign in



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- The Judiciary website CURRENTLY defaults to search by Guardian Profile using the following:
  - "Name"
  - "Registration Status"
  - "County of Practice" OR/AND
  - "Office Located"

#### GUARDIANSHIP

SEARCH DATA | MONTHLY REPORTS | TERMS OF USE | ABOUT

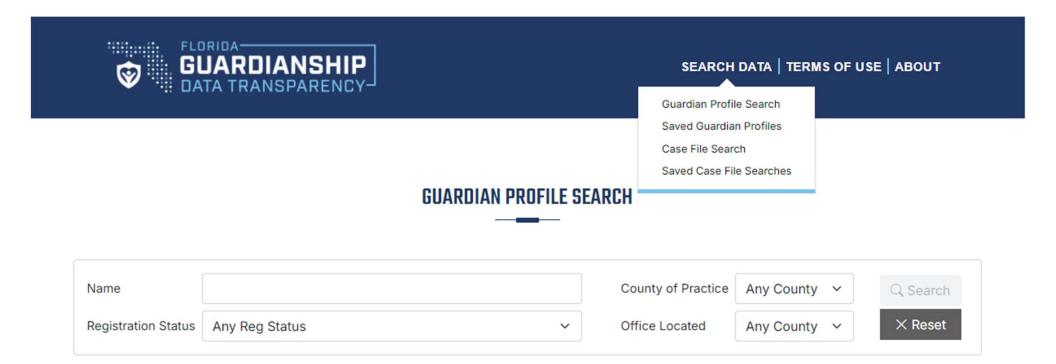


Name			County of Practice	Alachua	~	Q Search
Registration Status	Any Reg Status	~	Office Located	Any County	~	imes Reset



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https://judges.flgdt.org



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- Data Available to Judges and Judicial Staff From Search of Guardianship Data Base Using
  - County of Practice
  - Office in County

#### **GUARDIAN PROFILE SEARCH**

Name		County of Practice	Alachua	~	Q Search
Registration Status	Any Reg Status V	Office Located	Alachua	~	imes Reset

Principal Guardian	Possible Case Load	Registration Status	View Guardian Employees
Bonnie M. DiVito	73	Active	>
David Altman	0	Expired	>
Karen Munroe	0	Expired	>
Stephen Sperling	4	Expired	>
Found 4 items			



1.



#### Back

### Data Available to Judges and Judicial Staff From Search of Guardianship Data Base

- County of Practice Alachua
- Office in County Alachua
- Then Selecting an "Active"
   Guardian Profile

Profile Disciplinary History						🖾 Save Guardian Profile
Bonnie M. DiVito						
Bond Holder Id	Registration Status		Qualification Status		Address	
560	Active		Compliant		▲ 1731 NW 6th 32609	Street, H. Gainesville, FL
Guardian Employees						
Name		ssible Case Load	1			stration Status
🔮 Bonnie DiVito	7	3			<b>4</b> /	Active
Possible Cose Lood- 73 Record	s Found Case Circuit 🝦	Case County	Case Status	Guardians 🗍	Wards 4	Judge Name 👙
011946GA080744XXXXX	Eighth Circuit	Alachua	99 Closed	Cantrell, Fred Divito, Bonnie		Moseley - Div A, Mark W
01198064000386XXXXXX	Eighth Circuit	Alachua	Closed	Burwell, Betsy Divito, Bonnie		Moseley - Div A, Mark W
01198454000050XXXXXX	Eighth Circuit	Alachua	Closed	Divito, Bonnie Fischer, Timothy		Moseley - Div A, Mark W
011986G4000091XXXXXX	Eighth Circuit	Alachua	Closed	Horn, John Divito, Bonnie		Moseley - Div A, Mark W
01198764000515XXXXXX	Eighth Circuit	Alachua	Closed	Divito, Bonnie Prim, Kate		Moseley - Div A, Mark W
011991G4000051XXXXX	Eighth Circuit	Alachua	Closed	Divito, Bonnie		Moseley - Div A, Mark W



5.

#### CASE FILE RECORD

#### Back to Search

 Data Available to Judges and Judicial Staff From Search of Guardianship Data Base

- County of Practice Alachua
- Office in County Alachua
- Selecting an "Active" Guardian Profile
- Then selecting the Case File Record at the top of list under "Case Status" - "99 Closed"

Guardians	Type of Guardianship		Addra	355		
Fred H Cantrell	Unknown		<u>.</u>	D BOX 23 GAINE	ESVILLE, FL 32	502
Bonnie Divito	Plenary		<u>.</u> P(	0 BOX 185 WOR	THINGTON SPI	RINGS, FL 32697
UCN	File Date	Circuit		Name o	f Judge	
011946GA000744XXXXXX	Saturday Jan 12, 1946	Eighth Circuit		Mosele	ey - Div A, Mark	w
Petitioners						
A lotorination not available						
Legal Counselors (Wards)	Legal Counselors (G	uardians)	Legal (	Coonselors (Petit	ioners)	
	Legal Counselors (G	uardians)	Legal (	Counselors (Petiti	ioners)	
Legal Caunselors (Wards) Wards Name	Legal Counselors (G	uardians)	County	D.O.B.	Gender	Race
Wards	Legal Counselors (G	uardians)	County		Gender	
Wards Name		uardians)	County	D.O.B.	Gender	
Wards Name		uardians)	County	D.O.B.	Gender	
Wards Name Statutorily Required Reports and Subm		uardians)	County	D.O.B. Not available	Gender	



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 Data Available to Judges and Judicial Staff From Search of Guardianship Data Base

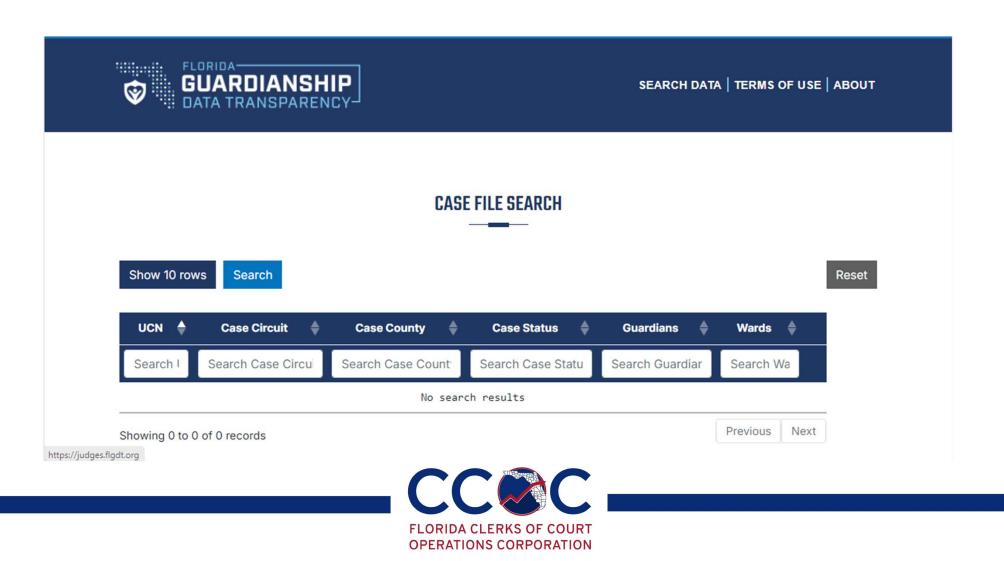
- County of Practice Alachua
- Office in County Alachua
- Selecting an "Active" Guardian Profile
- Then selecting the Case File Record in the middle of list under "Case Status" - "Reop – Civ/Pr/ Juv/Crim"

Guardians	Type of Guordianship			Address		
Jo Lisa Byers	Unknown			2 6241 CR 734 C	RLANDO	FL 33514
Bonnie Divito	Plenary		2 PO BOX 185 WORTHINGTON SPRING			TON SPRINGS, FL 3269
Lyn <mark>S</mark> tevens	Unknown		2 395 SE PIUTE WAY LAKE CITY, FL 320			E CITY, FL 32025
UEN	File Dote	Circuit		Nom	e of Judge	
012000GA000290XXXXX	Wednesday Mar 08, 2000	Eighth Circuit		Mos	eley - Div	A, Mark W
Petitioners						
m information per available.						
Legal Counselars (Words)	Legal Counselors (Gu	ordions)	3	Legal Counselars (P	etitioners)	
35556 Shannon Miller	982180 Aaron Ton	ву				
	92649 Ernest Sel	ers				
	987150 Aaron Ton					
	35556 Shann <mark>on</mark> M	filler				
Words						
Name			County		Gender	and the second se
			Alachua	Fri Jun 12, 1970	Female	Of indeterminate face
Statutarily Required Reports and Subm	issions					
A information up: available						
SRS Case Category	Cose Type Description	Cose Status		Cose	status De	scription
Guardianship	GUARDIANSHIP	Reopened		02.53		R/JUV/CRIM

GAGE FILE REGURD

CCCCCC FLORIDA CLERKS OF COURT OPERATIONS CORPORATION

- : . -



- The <u>Search Builder</u> allows the application user to conduct a search as required in **s. 744-2112(2)b, F.S.**
- <u>Search Builder</u> data has multiple criteria customized by the values input by the application user.
- Criteria categories are:
  - UNC (Uniform Case Number)
  - Case County
  - Guardian
  - Ward
- <u>Condition</u> Options are the same for each criteria they are available for.
  - Equals
  - Contains
  - Starts With

Search Builder			×
<u>Criteria</u>	Value	Condition	Î
UCN		Equals 🗸	
Case County	~		
Guardian Search Type Guardian First Name	• Person O Corp/Entity		
Guardian Last Name			
Guardian Office Location	<b>~</b>		
Ward First Name		Equals 🗸	
Ward Last Name		Equals 🛩	
Ward Date of Birth	mm/dd/yyyy		
Ward Gender	~		
Ward Race	~		
Ward County of Residence	~		



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### Search Builder Data (Continued)

- Additional <u>Criteria</u> categories are:
  - Petitioner
  - Circuit
  - Judge's Name
  - Attorney Name

Customized criteria data can be saved by the application user to make future searches faster.

Search Builder			>
Ward First Name		Equals	~
Ward Last Name		Equals	~
Ward Date of Birth	mm/dd/yyy!		
Ward Gender	~		
Ward Race	~		
Ward County of Residence	~		
Petitioner First Name		Equals	~
Petitioner Last Name		Equals	~
Circuit	~		
Judge Name			
Attorney Name			



Administrator

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### **GUARDIAN PROFILE SEARCH**

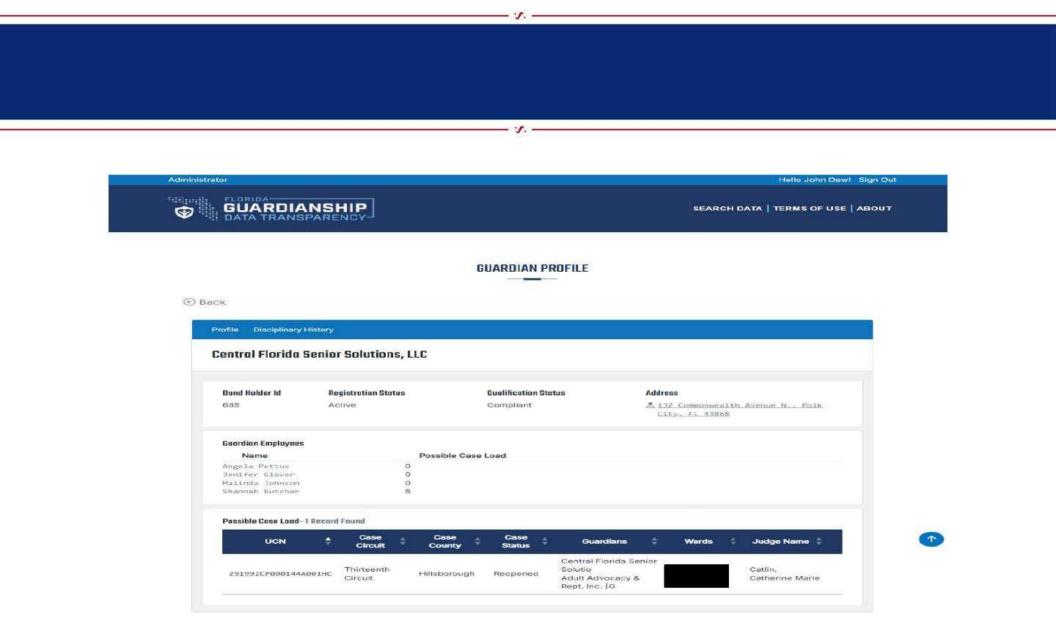
.

Name	shannah		County of Practice	Any County	~	Q Search
Registration Status	Any Reg Status	~	Office Located	Any County	~	imes Reset

time to

Principal Guardian	Possible Case Load	Registration Status	View Guardian Employees
Central Florida Senior Solutions, LLC	18	💧 Active	>
Tenth Circuit Public Guardian, Inc.	2	🖸 Expired	>
Found 2 items			







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#### **GUARDIAN PROFILE**

Back

Administrator

IN CALMER AND								
annah Butcher								
gistration Number		Qualifier	ation Status					
164		Complia						
nployers								
Name		Address			R	gistration	Statu	is .
Central Florida Ser	ior Solutions		monwealth Aven	e N., Polk City, Fi	-	Active		
Tenth Circuit Publi	- Sundary 7	33868	1150 Minter	Maura 51 32892	-	Expired		
French Circuit Publi	a charorany i	da CAMa BO	a 4458, Munter	THATACLE IL 32002		exhied		
1980 W								
ssible Case Load (Number	of Words) - E Rec	ords Found						
UCN 🗘	Case Circult	Case County	≑ Case ≑ Status ≑	Guardians		Wards	\$	Judge Name 👙
UCN \$				Guardians Tenth Circuit Public Guardian Butcher, Shannah	0	Wards	\$	Judge Name 👙 Rafool, Brandon Jon
54920 - Mi	Circuit	County	Status T	Tenth Circuit Public Guardian	\$	Wards	\$	Rafool, Brandon
52006648000035644X4X 5201164800062644X4X	Circuit *	County Hardee	* Status *	Tenth Circuit Public Guardian Butcher, Shannah Tenth Circuit Public Guardian	•	Wards	\$	Rafool, Brandon Jon Rafool, Brandon
52006GA000035GAAXMX 52011GA000062GAAXMX 52016GA000045GAAXMX	Circuit *	County Hardee Hardee	<ul> <li>Status</li> <li>Reclose</li> <li>Reclose</li> <li>Case</li> </ul>	Tenth Circuit Public Guardian Butcher, Shannah Tenth Circuit Public Guardian Butcher, Shannah Tenth Circuit Public Guardian	ф.	Wards	*	Rafool, Brandon Jon Rafool, Brandon Jon Raiden, Michael
152006GA800035GAAX/4X	Circuit Tenth Circuit Tenth Circuit Tenth Circuit	County Hardee Hardee Hardee	<ul> <li>Status</li> <li>Reclose</li> <li>Reclose</li> <li>Case Reopen</li> </ul>	Tenth Circuit Public Guardian Butcher, Shannah Tenth Circuit Public Guardian Butcher, Shannah Tenth Circuit Public Guardian Butcher, Shannah Tenth Circuit Public Guardian	\$	Wards	*	Rafool, Brandon Jon Rafool, Brandon Jon Raiden, Michael E Raiden, Michael



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#### Back to Search

Administrator

Guardians	Type of Guardianship			Address		
Tenth Circuit Public Guardian	Plenary					
Shannah Butcher	Plenary		虎 P.O. Box 1150 Wi			taven, FL 33882
UCN	File Date	Circuit		,	lame of Judge	
252016GA000045GAAXMX	Friday May 06, 2016 Tenth Circuit			Raiden, Michael E		E
Petitioners						
Tenth Circuit Public Guardian						
Legal Counselors (Wards)	Legal Counselors (Gua	rdians)		Legal Counsel	ors (Petitioners)	
	368234 Stephen Ma	rtin		308234 Step	hen Martin	
Words						
Name			County Hardee	D.O.B. Not available	Gender Not available	Race Not available
Statutorily Required Reports and S	ubmissions					
dimformation nor available						
SRS Case Category	Case Type Description	Cose Status		c	ase Status Desci	iption
Guardianship	GUARDIANSHIP OF PERSON	Reopened		c	Case Reopen	



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**CASE FILE RECORD** 

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#### Administrator

GUARDIANSHIP

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#### **GUARDIAN PROFILE**

Back

Profile Disciplinary History		
Laine L. Quattrocki		
Employee: Laine Quattrocki		
Registration Number: 2854		Registration Status: <b>PExpired</b>
DOAH Final Order Number	Violations	Disciplinary Actions
	<pre>§ 744.20041(1)(b),(i),(s), F.S. § 744.3215(1)(a),(e), F.S § 744.361(1),(2),(3),(4),(7),(8),(10)(b), F.S. § 744.365(1),(2),(a),(c),(3), F.S. § 744.367(1),(2),(3)(a),(5) F.S. § 744.3678(1),(2),(3), F.S. § 744.3685(1)-(3), F.S. Rule 58M-2.009(2)(a),(f), F.A.C. Rule 58M-2.009(18)(a)7,8,, F.A.C. Violation of Final Order</pre>	Letter of Reprimand Remedial Education Permanent Revocation



### FLCCOC's Current Status

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The Databases, FLGDT – Judicial and FLGDT – Public, website applications went live in April 2024 as a soft launch (un-publicized)

Case Management System updates were fielded to incorporate new Party-Type codes and Report Event codes

Currently, 52 Clerk offices are using the additional "Party-Type" codes developed to bring three additional statutorily required data elements for Guardianship into CCIS



### LEGISLATION Para (4) – DEA/OPPG

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(4) The Office of Public and Professional Guardians is directed to share professional guardian registration and disciplinary action information for the purposes of this section.



### DEA/OPPG WEBSITE CREATED

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### Public

Florida Department of Elder Affairs Guardianship Search: https://elderaffairs.org/programs-services/office-of-public-professionalguardians-oppg/registered-professional-guardian-search/



### ELDER AFFAIRS WEBSITE

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### What You Will See



DOEA > Programs & Services ... > Office of Public & Pr ... > Registered Professional G ...

### **Registered Professional Guardian Profile Search**

Search by Registration Number, Name, Registration Status, Registration Expiration Date, and/or County

Sort by selecting column header

Data Current as of June 10, 2024 4:17:26 PM

\*The Registered Professional Guardian Profile Database includes discipline taken by the Department in a final agency action.

Search..



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### ELDER AFFAIRS WEBSITE (Expanded)

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### What You Will See Further Down

<u>Adam</u> <u>Spruill</u>	N/A	N/A	Expired		Pinellas
<u>Adolfo</u> Pichardo	N/A	N/A	Expired		Miami-Dade, Monroe
<u>Aijeleth</u> Lee	N/A	N/A	Expired		Miami-Dade
<u>Alecia</u> Lyons	Alecia May Lyons	Alecia May Lyons	Active	05/16/2025	Pasco, Pinellas
<u>Alexander</u> DeJesus	Alexander Steven DeJesus	Alexander Steven DeJesus	Active	02/05/2025	Pasco, Pinellas
<u>Alexander</u> <u>McNulty</u>	N/A	N/A	Expired		Charlotte, Hillsborough, Lee, Manatee, Marion, Pasco, Pinellas, Polk, Sarasota
<u>Alexandra</u> Rush	Senior Resource Association, Inc.	Senior Resource Association, Inc.	Active	06/05/2025	Indian River
<u>Alexandra</u> Uribe	Guardianship Program of Dade County, Inc.	Guardianship Program of Dade County, Inc.	Active	07/12/2024	Miami-Dade
<u>Alexis</u> Villegas	N/A	N/A	Expired		Hillsborough, Manatee, Pinellas, Polk

Showing 1 to 10 of 871 entries

Show 10 v entries Previous 1 2 3 4 5 ... 88

Next



**FLORIDA C** 

### LEGISLATION Para (5) – OPPAGA

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- (5)(a) Beginning July 1, 2024, and annually thereafter through July 1, 2027, the Florida Clerks of Court Operations Corporation must compile and report data collected by the clerks of court and the Department of Elderly Affairs and maintained in the database to the Office of Program Policy Analysis and Government Accountability (OPPAGA).
- (b) OPPAGA must analyze the consolidated data compiled in accordance with paragraph (a) to evaluate trends in the use of guardianships in this state and to conduct a comparative analysis of guardianship laws in other states. OPPAGA must consult with the Office of the State Courts Administrator, the Florida Clerks of Court Operations Corporation, the clerks of court, and the Department of Elderly Affairs during its analysis. OPPAGA shall submit a report containing its findings and recommendations to the Governor, the President of the Senate, and the Speaker of the House of Representatives by October 15, 2024, and annually thereafter through October 15, 2027.



## FLCCOC's Current Status With OPPAGA

- •OPPAGA requested access to the Judicial website in July to fulfill the statutory requirements of s.744.2112(5)(a) and (b)
- •Started analyzing the data available from websites as required in s.744.2112(5)(b)
- •Will provide feedback to FLCCOC to develop the actual report elements needed to fulfill the statutory requirements of s.744.2112(5)(a)
- Provided Report to Governor and Legislature on October 15th, 2024. <u>https://oppaga.fl.gov/Products/ReportDetail?rn=24-07</u>

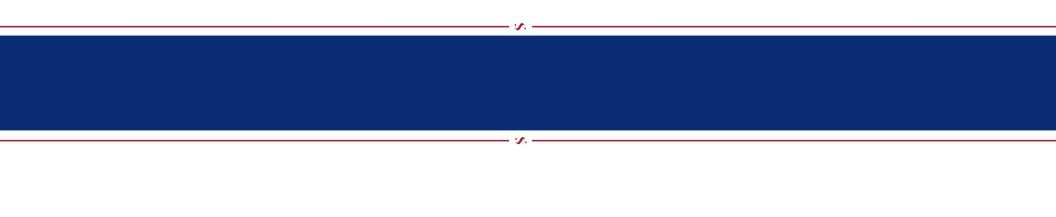


## FLGDT FUTURE "IT WILL GET BETTER"

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- As we get feedback from Judicial partners and others on what is
  - working and not working
  - usable and not usable
- As more Clerk's offices update guardianship cases
- As FLCCOC works out data sharing issues
- As OPPAGA continues its reviews and issues reports to stakeholders





# Questions?

